

FULL PRIVILEGE LOG

BEP = bank examination/deliberative process privilege and protection of confidential supervisory information. The applicable regulator(s) have asserted those protections as to each document listed below

AC = attorney-client privilege

WP = work product protection

Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-1	August 13-14	Emails	Curtis Tao; Kathleen Aguiar	Kathleen Aguiar; Michael Sutura; Curtis Tao; Mary Reisert (cc); Gregory Frenzel (cc); Beth Rudofker (cc)	FDIC	AC, WP, BEP	Emails prepared by Citi counsel, and containing the product of interviews with staff by internal counsel, mental impressions and assessment of legal claims by internal and external counsel.	Document contains questions from regulator followed by answers from Citi, relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-2	August 14	Email	Curtis Tao	Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FDIC	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-3	August 13	Email Memo	Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	FDIC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-4	August 14	Email Memo	Curtis Tao	Curtis Tao	FDIC	AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers' "inquiries to internal Citi colleagues."	Document responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."		
87-5	August 15	Email	Curtis Tao	Kathleen Aguiar; Michael Sutura (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FDIC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-6	August 14	Memo	Stuart Riley; Mary Reisert; Charlene Jones; Adam Meshel; Rohan Weerasinghe	Citigroup Board of Directors; Citibank Board of Directors	FDIC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-7	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	
87-8	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	
87-9	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-10	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	
87-11	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	
87-12	August 13–14	Emails	Curtis Tao	Denise Schmedes; Ann Miner (cc); Audrey Schwarz (cc); Alyssa McLaughlin (cc); Mary Reisert (cc)	FRBNY	AC, BEP	Last-in-time email includes information prepared by Citi counsel, and containing the product of interviews with staff by internal counsel.	Response to question from regulator concerning background relating to regulatory investigation. Marked "Confidential Supervisory Information."		

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87-13	August 14	Email	Curtis Tao	Denise Schmedes; Alyssa McLaughlin; Ann Miner; Audrey Schwartz; Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FRBNY	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-14	August 13	Email Memo	Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	FRBNY	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."		
87-15	August 14	Email Memo	Curtis Tao	Curtis Tao	FRBNY	AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers' "inquiries to internal Citi colleagues."	Dcoument responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-16	August 15	Email	Curtis Tao	Kathleen Aguiar; Michael Sutura (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FRBNY	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-17	August 14	Memo	Mary Reisert; Charlene Jones; Julio Gurdian; Adam Meshel; Rohan Weerasinghe	Citigroup Board of Directors; Citibank Board of Directors	FRBNY	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."		
87-18	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-19	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	
87-20	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-21	August 28 & September 1	Emails	Alyssa McLaughlin	Curtis Tao; Ann Miner; Audrey Schwarz; Kianne Gumbs	FRBNY	BEP		Regulator's list of questions relating to regulatory investigation. Marked "RESTRICTED FR."	Yes	References matters beyond the August 11 transfer, which are irrelevant and not discoverable.
87-22	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-23	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	
87-24	August 14	Email	Curtis Tao	Greg Sullivan; Lara Santini; Brendan Zeigon; Constanti Pasvankias; Tyler Dickson; Jason Rekate; Santo Trombetta; Mary Reisert	OCC	AC, WP, BEP	Email includes information prepared by Citi counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessments of legal claims by internal and external counsel.	Response to question from regulator concerning background relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-25	August 14	Email	Curtis Tao	Greg Sullivan; Lara Santini (cc); Constantine Pasvankias (cc); Paco Ybarra (cc); Gregory Frenzel (cc); Brad Hu (cc); Jason Rekate (cc); Tyler Dickson (cc); Karen Peetz (cc); Kevin Bailey (cc); Rohan Weerasinghe (cc)	OCC	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-26	August 13	Email Memo	Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	OCC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."		
87-27	August 14	Email Memo	Curtis Tao	Curtis Tao	OCC	AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers' "inquiries to internal Citi colleagues."	Document responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."		
87-28	August 15	Email	Curtis Tao	Kathleen Aguiar; Michael Sutura (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-29	August 14	Memo	Mary Reisert; Charlene Jones; Julio Gurdian; Adam Meshel; Rohan Weerasinghe	Citigroup Board of Directors; Citibank Board of Directors	OCC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."		
87-30	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	
87-31	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-32	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-33	August 28	Emails	John Leiby; Curtis Tao	Curtis Tao; John Leiby	OCC	BEP		Regulator's questions and Citi's answers relating to regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-34	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	
87-35	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	

FRBNY COMMUNICATIONS

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Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Communications with the Federal Reserve Board/Federal Reserve Bank of New York

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-12	August 13-14	Emails	Curtis Tao	Denise Schmedes; Ann Miner (cc); Audrey Schwarz (cc); Alyssa McLaughlin (cc); Mary Reisert (cc)	FRBNY	AC, BEP	Last-in-time email includes information prepared by Citi counsel, and containing the product of interviews with staff by internal counsel.	Response to question from regulator concerning background relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-13	August 14	Email	Curtis Tao	Denise Schmedes; Alyssa McLaughlin; Ann Miner; Audrey Schwartz; Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FRBNY	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-14	August 13	Email Memo	Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Reigate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	FRBNY	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."		

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87-16	August 15	Email	Curtis Tao	Kathleen Aguiar; Michael Sutera (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FRBNY	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-17	August 14	Memo	Mary Reisert; Charlene Jones; Julio Gurdian; Adam Meshel; Rohan Weerasinghe	Citigroup Board of Directors; Citibank Board of Directors	FRBNY	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."		

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87-18	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	
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87-20	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-21	August 28 & September 1	Emails	Alyssa McLaughlin	Curtis Tao; Ann Miner; Audrey Schwarz; Kianne Gumbs	FRBNY	BEP		Regulator's list of questions relating to regulatory investigation. Marked "RESTRICTED FR."	Yes	References matters beyond the August 11 transfer, which are irrelevant and not discoverable.

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87-23	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	

FDIC COMMUNICATIONS

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Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Communications with the FDIC

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-1	August 13-14	Emails	Curtis Tao; Kathleen Aguiar	Kathleen Aguiar; Michael Sutura; Curtis Tao; Mary Reisert (cc); Gregory Frenzel (cc); Beth Rudofker (cc)	FDIC	AC, WP, BEP	Emails prepared by Citi counsel, and containing the product of interviews with staff by internal counsel, mental impressions and assessment of legal claims by internal and external counsel.	Document contains questions from regulator followed by answers from Citi, relating to regulatory investigation. Marked "Confidential Supervisory Information."	
87-2	August 14	Email	Curtis Tao	Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FDIC	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."	
87-3	August 13	Email Memo	Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	FDIC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-4	August 14	Email Memo	Curtis Tao	Curtis Tao	FDIC	AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers' "inquiries to internal Citi colleagues."	Document responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."	
87-5	August 15	Email	Curtis Tao	Kathleen Aguiar; Michael Sutera (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FDIC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."	
87-6	August 14	Memo	Stuart Riley; Mary Reisert; Charlene Jones; Adam Meshel; Rohan Weerasinghe	Citigroup Board of Directors; Citibank Board of Directors	FDIC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-7	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes
87-8	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes
87-9	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes
87-10	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?
87-11	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes

OCC COMMUNICATIONS

BEP = bank examination/deliberative process privilege and protection of confidential supervisory information. The applicable regulator(s) have asserted those protections as to each document listed below.

AC = attorney-client privilege

WP = work product protection

Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Communications with the OCC

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-24	August 14	Email	Curtis Tao	Greg Sullivan; Lara Santini; Brendan Zeigon; Constanti Pasvankias; Tyler Dickson; Jason Rekate; Santo Trombetta; Mary Reisert	OCC	AC, WP, BEP	Email includes information prepared by Citi counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessments of legal claims by internal and external counsel.	Response to question from regulator concerning background relating to regulatory investigation. Marked "Confidential Supervisory Information."	
87-25	August 14	Email	Curtis Tao	Greg Sullivan; Lara Santini (cc); Constantine Pasvankias (cc); Paco Ybarra (cc); Gregory Frenzel (cc); Brad Hu (cc); Jason Rekate (cc); Tyler Dickson (cc); Karen Peetz (cc); Kevin Bailey (cc); Rohan Weerasinghe (cc)	OCC	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."	
87-26	August 13	Email Memo	Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meschel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	OCC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-27	August 14	Email Memo	Curtis Tao	Curtis Tao	OCC	AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers' "inquiries to internal Citi colleagues."	Document responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."	
87-28	August 15	Email	Curtis Tao	Kathleen Aguiar; Michael Sutura (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."	
87-29	August 14	Memo	Mary Reisert; Charlene Jones; Julio Gurdian; Adam Meshel; Rohan Weerasinghe	Citigroup Board of Directors; Citibank Board of Directors	OCC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-30	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes
87-31	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes
87-32	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes
87-33	August 28	Emails	John Leiby; Curtis Tao	Curtis Tao; John Leiby	OCC	BEP		Regulator's questions and Citi's answers relating to regulatory investigation. Marked "Confidential Supervisory Information."	Yes

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?
87-34	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes
87-35	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes

OUTSIDE DATE RANGE

BEP = bank examination/deliberative process privilege and protection of confidential supervisory information. The applicable regulator(s) have asserted those protections as to each document listed below

AC = attorney-client privilege

WP = work product protection

Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Documents that fall outside the agreed date range

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-7	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	
87-8	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	
87-9	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-10	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	
87-11	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	
87-18	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-19	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	
87-20	August 28	Email	Curtis Tao	Mary Reiser; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-21	August 28 & September 1	Emails	Alyssa McLaughlin	Curtis Tao; Ann Miner; Audrey Schwarz; Kianne Gumbs	FRBNY	BEP		Regulator's list of questions relating to regulatory investigation. Marked "RESTRICTED FR."	Yes	References matters beyond the August 11 transfer, which are irrelevant and not discoverable.
87-22	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-23	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	
87-30	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	
87-31	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-32	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa McLaughlin; Michael Sutura; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-33	August 28	Emails	John Leiby; Curtis Tao	Curtis Tao; John Leiby	OCC	BEP		Regulator's questions and Citi's answers relating to regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-34	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa McLaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutura; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	
87-35	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	